











# Federal Select Agent Program(FSAP) Multi-Agency Informational Meeting

**FSAP Reporting Requirements for Entities with Shipping and Laboratory Practices information** 





### **Agenda and Times**

- **□** 11:00 11:05 Moderator
  - Housekeeping
  - Introduction of Speakers
- 11:05 11:20 Federal Select Agent Program (FSAP)
  Directors
  - Opening Remarks
  - Overview of the Program
- 11:20 11:45 Department of Transportation (DOT)
  - Shipping of Category B Diagnostic Samples
- 11:45 12:10 APHIS/CDC Form 2 Request To Transfer Select Agents and Toxins

# **Agenda and Times**

- 12:10 12:40 APHIS/CDC Form 3 Incident Notification and Reporting (Theft, Loss, Release)
- 12:40 1:10 30-minute Break
- □ 1:10 1:40 APHIS/CDC Form 4 Reporting the Identification of a Select Agent or Toxin from a Clinical/Diagnostic Specimen
- 1:40 2:10 Minnesota Department of Health
  - Laboratory Practices
- □ 2:10 2:40 Open for Additional Questions
- **2:40 2:45 Closing Remarks**













# Overview of the Federal Select Agent Program

**Directors, Federal Select Agent Program** 

Multi-Agency Informational Meeting to Discuss Select Agent and Toxin Reporting Requirements



**September 23, 2020** 



### **Overview & History**

- 2001 anthrax attacks led to strengthening of program
- Public Health Security and Bioterrorism Preparedness and Response Act of 2002, Title II
  - Legal authority for the current Federal Select Agent Program (FSAP)
  - Required security measures in addition to biosafety measures
  - Strengthened the regulatory authorities of the U.S. Department of Health and Human Services (HHS)
  - Granted comparable regulatory authorities to the U.S. Department of Agriculture (USDA)







# **Federal Select Agent Program (FSAP)**

- Regulates the possession, use, and transfer
   of biological select agents and toxins (BSAT)
   with the potential to pose a severe threat to public,
   animal or plant health, or to animal or plant products
- Began in its current regulatory form in 2003
- Managed jointly by:



The Division of Select Agents and Toxins (DSAT),
 Centers for Disease Control and Prevention (CDC),
 U.S. Department of Health and Human Services (HHS)



The Agriculture Select Agent Services (AgSAS),
Animal and Plant Health Inspection Service (APHIS),
U.S. Department of Agriculture (USDA)

# **Select Agent Regulations**

- Relevant regulations include:
  - 7 C.F.R. Part 331 (plants and plant products)
  - 9 C.F.R. Part 121 (animals and animal products)
  - 42 C.F.R. Part 73 (public health)



Requires review and republication of agent list every two years







# **Types of Select Agents and Toxins**

- HHS-only agents (HHS has sole authority and responsibility to regulate)
  - Potential to affect public health and safety
  - Botulinum neurotoxins, Yersinia pestis, Smallpox virus
- USDA-only agents (USDA has sole authority and responsibility to regulate)
  - Potential to affect animal and plant health; animal and plant products
  - Foot-and-mouth disease virus, Rinderpest virus
- "Overlap" agents (HHS and USDA have authority and responsibility to regulate)
  - Subject to regulation by both agencies because they have potential to affect both humans and animals (requires interagency coordination)
  - Bacillus anthracis, Brucella abortus, Rift Valley fever virus

### **Tier 1 Select Agents and Toxins**

Tier 1 is a subset of the select agents and toxins list that presents the greatest risk of deliberate misuse with the most significant potential for mass casualties or devastating effects to the economy, critical infrastructure, or public confidence

### **HHS select agents and toxins**

- (1) Bacillus cereus Biovar anthracis
- (2) Botulinum neurotoxin
- (3) Botulinum neurotoxin producing species of Clostridium
- (4) Ebola virus
- (5) Francisella tularensis
- (6) Marburg virus
- (7) Variola major virus
- (8) Variola minor virus
- (9) Yersinia pestis

### **USDA** select agents

- (1) Foot-and-Mouth Disease virus
- (2) Rinderpest virus

### **Overlap select agents**

- (1) Bacillus anthracis (excluding Pasteur strain)
- (2) Burkholderia mallei
- (3) Burkholderia pseudomallei

# **Current Select Agents and Toxins List**

HHS and USDA Select Agents and Toxins 7CFR Part 331, 9 CFR Part 121, and 42 CFR Part 73

#### HHS SELECT AGENTS AND TOXINS

- 1. Abrin
- 2. Bacillus cereus Biovar anthracis\*
- 3. Botulinum neurotoxins\*
- Botulinum neurotoxin producing species of Clostrictium\*
- Conotoxins (Short, paralytic alpha conotoxins containing the following amino acid sequence X<sub>1</sub>CCX<sub>2</sub>PACGX<sub>3</sub>X<sub>4</sub>X<sub>5</sub>X<sub>6</sub>CX<sub>7</sub>)<sup>1</sup>
- 6. Coxiella burnetii
- 7. Crimean-Congo haemorrhagic fever virus
- 8. Diacetoxyscirpenol
- 9. Eastern Equine Encephalitis virus<sup>3</sup>
- 10. Ebola virus\*
- 11. Francisella tularensis<sup>a</sup>
- 12. Lassa fever virus
- 13. Luio virus
- 14. Marburg virus\*
- Monkeypox virus<sup>3</sup>
- Reconstructed replication competent forms of the 1918 pandemic influenza virus containing any portion of the coding regions of all eight gene segments (Reconstructed 1918 Influenza virus)
- 17. Ricir
- 18. Rickettsia prowazekii
- 19. SARS-associated coronavirus (SARS-CoV)
- 20. Saxitoxin

#### South American Haemorrhagic Fever viruses:

- 21. Chapare
- 22. Guanarito
- 23. Junin
- 24. Machupo
- 25. Sabia
- 26. Staphylococcal enterotoxins A,B,C,D,E subtypes 27. T-2 toxin
- 28 Tetrodotovin

#### Tick-horne encenhalitis complex (flavi) viruses:

- 29. Far Eastern subtype
- 30. Siberian subtype
- 31. Kvasanur Forest disease virus
- 32. Omsk hemorrhagic fever virus
- 33. Variola major virus (Smallpox virus)\*
- 34. Variola minor virus (Alastrim)\*
- 35. Yersinia pestis\*

#### \*Denotes Tier 1 Agent

#### OVERLAP SELECT AGENTS AND TOXINS

- 36. Bacillus anthracis\*
- 37. Bacillus anthracis Pasteur strain
- 38. Brucella abortus
- 39. Brucella melitensis
- 40. Brucella suis
- 41. Burkholderia mallei\*
- 42. Burkholderia pseudomallei\*
- 43. Hendra virus
- 44. Nipah virus
- 45. Rift Valley fever virus
- 46. Venezuelan equine encephalitis virus3

#### USDA SELECT AGENTS AND TOXINS

- 47. African horse sickness virus
- 48. African swine fever virus
- 49. Avian influenza virus
- 50. Classical swine fever virus
- 51. Foot-and-mouth disease virus\*
- 52. Goat pox virus
- 53. Lumpy skin disease virus
- 54. Mycoplasma capricolum<sup>3</sup>
- Mycoplasma mycoides<sup>3</sup>
   Newcastle disease virus<sup>2,3</sup>
- 57. Peste des petits ruminants virus
- 58. Rinderpest virus
- 59. Sheep pox virus
- 60. Swine vesicular disease virus

### USDA PLANT PROTECTION AND QUARANTINE (PPQ) SELECT AGENTS AND TOXINS

- Coniothyrium glycines (formerly Phoma glycinicola and Pyrenochaeta glycines)
- 62. Peronosclerospora philippinensis
- (Peronosclerospora sacchari) 63. Ralstonia solanacearum
- 64. Rathavibacter toxicus
- 65. Sclerophthora rayssiae
- 66. Synchytrium endobioticum
- 67. Xanthomonas oryzae

# 67 agents and toxins on the HHS and USDA list:

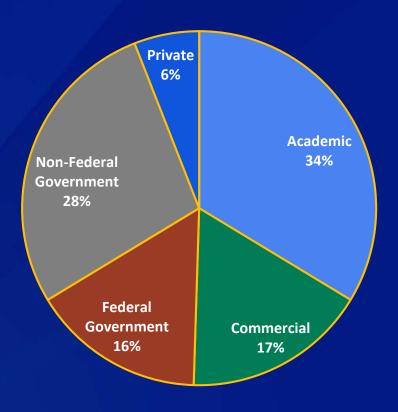
- 35 HHS only
- 21 USDA only
- 11 Overlap

**Including 14 Tier 1 agents** 

https://www.selectagents.gov/ SelectAgentsandToxinsList.html

# Types of Entities Registered with FSAP, 2019

**Total: 247** 



Source: 2019 Annual Report of the Federal Select Agent Program, https://www.selectagents.gov/annualreport2019.html

# **Key Regulatory Functions and Activities**

- Promulgate the select agent regulations
- Provide oversight of possession, use, and transfer
- Conduct inspections and approve registrations
- Approve individual access to select agents and toxins



# **Key Regulatory Functions and Activities (Cont.)**

- Receive reports of a theft, loss, or release
- Take appropriate enforcement actions
- Serve as a resource on compliance with the regulations



# **Select Agent Enforcement**

### Voluntary action

Corrective Action Plan (CAP)

### Administrative actions

- Denial of application
- Revocation or suspension of registration

### Civil monetary penalties

- Up to \$250k for an individual for each violation
- Up to \$500k for an entity for each violation

### Criminal

Imprisonment for up to 5 years, a fine, or both



### **Program Metrics**

Since 2003, there have been:

- No reported thefts of a select agent or toxin from a registered entity
- No deaths among laboratory workers
- No reported cases of illness or death in the general public due to work with these agents in regulated laboratories



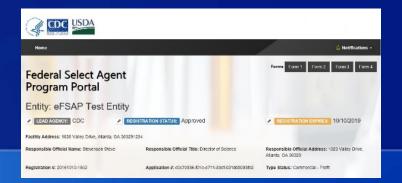
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11. Telephone R	12. Fax #			13. E-ma	address:			
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□ Other			■ No ■	Yes E NA	(If Yes, explain	in Blocks 28 or 3	0)	
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### **Key FSAP Reporting Forms**

- Application for Registration for Possession, Use, and Transfer of Select Agents and Toxins (APHIS/CDC Form 1)
- □ Request to Transfer Select Agents or Toxins (APHIS/CDC Form 2)
- ☐ Incident Notification and Reporting (Theft, Loss, or Release)(APHIS/CDC Form 3)
- □ Reporting the Identification of a Select Agent or Toxin (APHIS/CDC Form 4)
- Request for Exemption of Select Agents and Toxins for an Investigational Product (APHIS/CDC Form 5)

# **Electronic FSAP (eFSAP) Information System**

- Secure, web-based information system used to submit and share select agent program information with registered entities
- Searchable; provides FSAP immediate, real-time information on <u>who</u> has <u>what</u> select agents, <u>how</u> they are being used, and <u>where</u> they are located
- Increased efficiency; FSAP can be timelier and more effective
- Information available at <a href="https://www.selectagents.gov/efsap.html">https://www.selectagents.gov/efsap.html</a>



### **FSAP Website**

- Includes a variety of program information and resources, including:
  - Regulations
  - Frequently asked questions
  - Policies
  - Guidance documents
  - Forms
  - Publications
  - Reports

www.selectagents.gov





### **Discussion**

www.selectagents.gov

CDC: <a href="mailto:lrsat@cdc.gov">lrsat@cdc.gov</a> or 404-718-2000

APHIS: AgSAS@usda.gov or

301-851-3300 option 3 (voice only)











