



# Electronic Federal Select Agent Program (eFSAP) Information System - Updates

#### APHIS/CDC Form 1 Section 4 – Add/Remove/Modify/Reapply Personnel

Federal Select Agent Program Training











Select "Amend" to initiate a personnel change.





#### Select the type of amendment you would – like to perform.

	n.		_
		Amendment Selection	× odev cdcipsas.com
The Contract			Official2)
		Welcome to the Form 1 Amendment page. Before we get started we need a little information.	Out
		Select the types of Amendment you would like to perform	Form 3 Form 4
			J
<b>RO 2's</b>	E		
	Y: C	Section 1 - Change Entity Name, Abstract, or Type	3 🛃
		Section 1 - Change Entity Physical or Additional Address	
Facility Addres	ss: 420	Section 2 - Sign Section 2	3
Responsible C	fficial	Section 3 - Add Select Agent or Toxin	#: 121-323-1231
Primary FSAR	POC:	Section 3 - Reactivate Select Agent or Toxin	7891
Cocondam, EC		Section 4 - Add/Remove/Modify/Reapply Personnel	45.0700
Secondary PS/	AF FU	Section 4 - Change Responsible Official	40-0709
		Section 5A - Modify Entity-Wide Security Assessment and Incident Response	
A Noti	fica	Section 5B - Modify Entity-Wide Biosafety/Biocontainment	
Filter: All		Section 5C - Modify Entry Requirements for Federal Select Agent Programs Inspectors	
E Archive Selec	ted	Section 6 - Add New Building	
	u	Section 6 - Add New Room or Suite	
Select All	From	Section 6 - Modify Building	~
		Section 6 - Modify Room or Suite	
	eFSA	Section 6 - Reactivate Room or Suite	PH Flag
		Section 6 - Remove Building	
	eFSA	Section 7AC - Add New Work Objective	Flag
		Section 7AC - Modify Work Objective and/or Attachment(s)	
	David	Section 7AC - Remove Approved Work Objective	Flag





For personnel changes, select "Section 4 Amendment" and click "Ok".

Welcome to the Form 1 Amendment page. Before we get started we need a little information.	
Select the type of Amendment you would like to perform	
Section 4 - Add/Remove/Modify/Reapply Personnel	~
	Ok





# APHIS/CDC Form 1 Section 4 – Add/Remove/Modify/Reapply Personnel

Add personnel











#### Enter:

- 1. Last name
- 2. First name
- 3. DOB
- 4. Click "generate new DOJ number"\*

4 -

5. Indicate if Tier 1 access and/or responsibility for inventory

ast Name:	First Name:				
Smith	William				
5 of 100 characters left	93 of 100 characters left				
OJ Number:					
Date of	f Birth:				
C Generate new DOJ number 12/25/	1980 <b>  × 3</b>				
Business E-mail Address:	Title:				
e.g. user@website.com		Tier 1 Access 🗌 Inventory			
Business Telephone #:	Business Fax #:	Emergency Telephone #:			
		( ) - x			
(x	()x	()x			

\*If the person being added already has a DOJ, the Responsible Official obtains the individual's previously assigned DOJ number from FSAP and enters the information into eFSAP.





替 Assign Roles							
Primary Roles	🗆 🎫 A	ARO	🗆 🖹 Owner/Control	ler	🗆 👼 PI		
🗆 뤎 Laboratorian	🗆 處 An	imal Care Staff	Unescorted visitors				
Support Roles							
Maintenance	Janitorial	Administrative	□ IT □ Other				
Safety	Security	Shipping/Receivin	g				

#### Select a primary and/or support role. Multiple roles can be selected.

\* The box for RO cannot be selected. Changes to the Responsible Official (RO) is a separate amendment.





🚰 Assign Roles					
Primary Roles					
RO	🛛 🌆 RO 🛛 🗖 🔤 A		🗆 칠 Owner	Controller	🗆 🚋 PI
🗹 뤎 Laboratorian	🗆 🛃 A	nimal Care Staff	🗆 🛛 Unesc	corted visitors	
$egin{array}{c} Assigned \ PI: \end{array}$					
Tier 1 Britney Beck Killian Lopez Sam Samuelson					
Support Roles					
Maintenance	Janitorial	Administrative		Other	
□ Safety	Security	Shipping/Receivi	ng		

A laboratorian requires an assigned PI who is responsible for supervising the laboratorian. A drop-down menu appears with the currently approved PIs. Multiple PIs can be selected.





SRA Information					
Approval Status: <u>Not Set</u>	Initial Application Date	Not Set	Access Approval Date	Not Set	Access Withdrawal Not Set
					Close Add personnel

Once all information is entered, the blue "Add personnel" button at the bottom of the page will become active. Select this button to add this person as pending to your registration.





#### 🖋 Signature Required

I certify that information and training on safety, security, and incident response for working with select agents and toxins has been or will be provided to the individuals listed above before they have access to select agents and toxins. Training will address the needs of the individual, the work being performed, and risks posed by the select agents and/or toxins. Annual refresher training will be provided for these individuals. Written records and the means used to verify that the individuals understood the training will be maintained for at least three years.

RO Signature:	Date:
responsible official4 ×	
responsible official4	
Please type name as above.	

When adding a laboratorian, animal care staff, support staff, or an unescorted visitor, the RO or ARO will need to electronically sign the document to verify the person has been or will be trained.





Business E-mail Address:	Title:									
wsmith@efsap.com	Biosafe	ty Officer		Tier 1 A	Tier 1 Access Inventory					
238 of 254 characters left	83 of 10	0 characters	left							
Business Telephone #:	Busines	ss Fax #:		Emergency	/ Telepho	one #:				
(404)555-5555 x	(404)55	55-5556 x	_	(404)321-12	(404)321-1234 x					
Mailing Address (NOT a post office box	():	City:		State:		Zip:				
1020 Valley Drive, Atlanta, GA	×	Atlanta		Georgia	~	30329-1234				
170 of 200 characters left		43 of 50 characters left								
替 Assign Roles										
Primary Roles										
🗆 🏧 RO 🗹 🗹	ARO	O Owner/Control		roller [	🗆 🗂 PI					
🗆 🗟 Laboratorian 🛛 🔒	Animal Care	Staff	Our One State Contract Co							

If ARO is selected, you are required to enter contact information for the person.





#### The new person displays in a "Pending" status until the Access Status is approved.

Last Name	~	First Name 🗸 🗸	DOJ# ~	Approval Stat	Roles ~	Tier 1 ~	Supervising PIs $\sim$	Initial Applicat	BRAG Received D.::	Access Appro.x.	Access Expirax.	Access Withd.::	~	~
k >	×	×						Start Date	Start Date	Start Date	Start Date	Start Date		
				A Company				End Date	End Date	End Date	End Date	End Date		
Kepler		Johannes	JK070812	Pending	Security	No		08/03/2020					Edit	History





#### The "Initial Application Date" will be the date that the person was added.

									+						
Last Name	~	First Name ~	DOJ# ~	Approval Stat	Roles ~	Tier 1 ~	Supervising Pls	~	Initial Applicat	BRAG Received D.::	Access Appro.x.	Access Expirax.	Access Withd.::	~	~
k :	×	×							Start Date	Start Date	Start Date	Start Date	Start Date		
									End Date	End Date	End Date	End Date	End Date		
Kepler		Johannes	JK070812	Pending	Security	No			08/03/2020					Edit	History







The action will show in your notification center.





If the person is approved to access BSAT:

- FSAP will update the "Access Approved" and "Access Expiration" dates
- The person's Approval Status will change to "unrestricted"

Last Name	~	First Name	~	DOJ# ~	Approval Stat	Roles ~	Tier 1 v	Supervising PIs $\sim$	Initial Applicat.x.	BRAG Received D.X	Access Appro.x.	Access Expirax.	Access Withd.::	~	~
k	×	×	C						Start Date	Start Date	Start Date	Start Date	Start Date		
									End Date	End Date	End Date	End Date	End Date		
Kepler		Johannes		JK070812	Unrestricted	Security	No		08/03/2020	08/03/2020	08/03/2020	08/03/2023		Edit	History
					1							1			





# APHIS/CDC Form 1 Section 4 – Add/Remove/Modify/Reapply Personnel

Remove personnel





For personnel changes, select "Section 4 Amendment" and click "Ok".

	Amendment Selection	×	)
l	Welcome to the Form 1 Amendment page. Before we get started we need a little information. Below are the types of Amendments available for this form and actions specific to the Amendment. Select the type of Amendment you would like to perform		
Ļ	Section 4 - Add/Remove/Modify/Reapply Personnel	)	
Re			
CE	REGISTRATION STATUS, Approved REGISTRATION EXPIRES: 03/20	5	120





Last Name	~	First Name	~	DOJ# ~	Approval Stat	Roles ~	Tier 1 ~	Supervising Pls	/	Initial Applicat	BRAG Received D.::	Access Appro.x.	Access Expirax.	Access Withd.x	~	~
k	×		0							Start Date	Start Date	Start Date	Start Date	Start Date		
										End Date	End Date	End Date	End Date	End Date		
Kepler		Johannes		JK070812	Unrestricted	Security	No			08/03/2020	08/03/2020	08/03/2020	08/03/2023		Edit	History

To remove a person, click the edit button to the right of the person's information.







- 1. Select "Remove"
- 2. The "Reason for Removal" dropdown becomes active and contains drop-down selectors.
- Choose one or choose the other and type a reason in the text box.
- 3. Select "Finalize"





Section	4 - Ent	ity	Personn	el											
All O Pending															
AII 🔍 RO/ARO	Personnel 🔾	Prin	ncipal Investigator	Science Perso	nnel OSupport	Personnel 🔾							Ļ		
Last Name ~	First Name	~	DOJ# ~	Approval Stat	Roles ~	Tier 1	~	Supervising PIs $\sim$	Initial Applicat	BRAG Received D.::	Access Appro.x.	Access Expirax.	Access Withd.::	~	~
ke 🗙	j	×							Start Date	Start Date	Start Date	Start Date	Start Date		
	End Date End Date End Date End Date End Date														
Kepler	epler Johannes JK070812 Withdrawn Security No 08/03/2020 08/03/2020 08/03/2020 08/03/2020 Edit History														

The removed person will immediately show up on your entity's list of withdrawn personnel, the "Access Withdrawn Date" will be the same date the person was removed.





🛛 🌆 RO		ARO	🗆 🚺 Owner/Con	ntroller	🖾 🛱 PI	
🗆 🤷 Laboratorian	🗆 🍰 A	Animal Care Staff	🗆 🛛 Unescorte	d visitors		
Support Roles						
] Maintenance (	Janitorial	Administrative	🗆 IТ	C Other		
] Safety (	Security	Shipping/Receivi	ng			
Approved Work Obje #WO001149.001.0	ctives 001 - Status: Appr	oved				
Approved Work Obje     #WO001149.001.0     #RA Information	ctives 101 - Status: Appr	oved				
Approved Work Obje	otives 101 - Status: Appr Initial Applica	oved 08/05/2020 tion	Access Approval Date	08/05/2020	Access Expiration Date	08/05/2023
Approved Work Obje	otives 101 - Status: Appr Initial Applica Date	oved tion	Access Approval Date	08/05/2020	Access Expiration Date	08/05/2023
Approved Work Obje  #WO001149.001.0  RA Information  Approval Status: <u>Unrestricted  Status:Acc Information  Information  </u>	Initial Date	oved tion 08/05/2020	Access Approval Date	08/05/2020	Access Expiration Date	08/05/2023
Approved Work Obje  #WO001149.001.0  RA Information  Approval tatus:Unrestricted Assignment Status:Act Information	Initial Applica Date	tion 08/05/2020	Access Approval Date	08/05/2020	Access Expiration Date	08/05/2023

A PI cannot have any strains assigned in Section 7B and cannot be a solo PI on approved work objectives prior to the PI being removed from Section 4.

The warnings at the bottom will alert the user why the PI cannot be removed.





誉 Assign Roles								
Primary Roles								
🗆 🏧 RO	D 🔤	ARO	🗆 볼 Owner/C	Controller	🖾 🚨 Pl			
🗆 뤎 Laboratorian	🗆 🌡 🗸	rted visitors						
Support Roles								
Maintenance	Janitorial	Administrative	П П	Other				
Safety	<ul> <li>Security</li> </ul>	Shipping/Receivi	ing					
No approved work	objectives							
SRA Information								
Approval Status:Unrestricted     Initial Application Date     02/25/2019     Access Approval Date     02/25/2019     Access Expiration Date     02/25/2022       Assignment Status:Unassigned     V								
				[	Close Remove	H Update		

When the PI has no strains and no solo Work Objectives, the warnings will not appear, and the Remove button will be available.

Upon clicking Remove, the PI will automatically be removed as a supervising PI and a popup will list all individuals who will need to be assigned a new one.

Upon proceeding	est.com says g with this change, th	e following individual	s will be lef
without a supervi	ising PI. After procee	ding, please update t	hese
personnel to assi	gn them a new super	vising Pl.:	
Gregor Mendel [	DOI: CM070521		
oregor mendel, i	505. CM070521		





# APHIS/CDC Form 1 Section 4 – Add/Remove/Modify/Reapply Personnel

Modify personnel





#### Select the type of amendment you would – like to perform.

		-
St ODD	Amendment Selection	× )dev.cdcipsas.com Official2)
	Welcome to the Form 1 Amendment page. Before we get started we need a little information.	Out
	Below are the types of Amendments available for this form and actions specific to the Amendment.	Form 3 Form 4
	Select the type of Amendment you would like to perform	
	`	·
RU 2 5 E		
LEAD AGENCY: C	Section 1 - Change Entity Name, Abstract, or Type	3 📥
	Section 1 - Change Entity Physical or Additional Address	
Facility Address: 4	22 Section 2 - Sign Section 2	)
Responsible Offic	al Section 3 - Add Select Agent or Toxin	r <b>#:</b> 121-323-1231
Primary FSAP PO	Section 3 - Reactivate Select Agent or Toxin	7891
Concendery FCAR	Section 4 - Add/Remove/Modify/Reapply Personnel	45 0700
Secondary PSAP	Section 4 - Change Responsible Official	40-6769
	Section 5A - Modify Entity-Wide Security Assessment and Incident Response	
A Notifi	Section 5B - Modify Entity-Wide Biosafety/Biocontainment	
Filter All	Section 5C - Modify Entry Requirements for Federal Select Agent Programs Inspectors	
	Section 6 - Add New Building	
Archive Selected	Section 6 - Add New Room or Suite	
Select All Fro	n Section 6 - Modify Building	~
	Section 6 - Modify Room or Suite	
E eFs	Al Section 6 - Reactivate Room or Suite	<b>i¤</b> Flag
	Section 6 - Remove Building	
🗌 eFS	Al Section 7AC - Add New Work Objective	P Flag
	Section 7AC - Modify Work Objective and/or Attachment(s)	
Dav	Section 7AC - Remove Approved Work Objective	<b>P</b> Flag





Select the edit button next to the person you want to modify.

#### Section 4 - Entity Personnel

All O Pending O Unrestricted O Withdrawn O Expired O Restricted O Denied O

All 
 RO/ARO Personnel 
 Principal Investigator 
 Science Personnel 
 Support Personnel

Last Name	~	First Name ~	DOJ# ~	Approval Status .:.	Roles ~	Tier 1 ~	Supervising PIs $\sim$	Initial Applicatio.::	BRAG Received D.:.	Access Approval::.	Access Expiratio::.	Access Withdra>	~	~
I	×	luk ×						Start Date	Start Date	Start Date	Start Date	Start Date		
								End Date	End Date	End Date	End Date	End Date		
ancaster II		Luke	LL070201	Unrestricted	Laboratorian	Yes	Alexander Fleming	10/25/2017	10/30/2017	11/01/2017	11/01/2020		Edit	History



# **APHIS/CDC FORM 1** Section 4, Modify Personnel



<b>1. Modify the fields</b>	C Edit - Luke Lancaster II		×	
accordingly	Last Name: Lancaster II 88 of 100 characters left DOJ Number:	First Name: Luke 96 of 100 characters left		Signature Required I certify that information and training on safety, security, and incident response for working with select agents and toxins has been or will be provided to the individuals listed above before they have access to select agents and toxins. Training will address the needs of the individual, the work being
2. A signature will	LL070201 Date of Birth:			performed, and risks posed by the select agents and/or toxins. Annual refresher training will be provided for these individuals. Written records and the means used to verify that the individuals understood the training will be maintained for at least three years.
be required for	05/05/1970 Business E-mail Address:	Title:	Tior 1 Access	RO Signature: Date:
changes to all	e.g. user@website.com Business Telephone #:	Business Fax #:	Emergency Telephone #:	responsible official4 04/29/2019 responsible official4
personnel other	Mailing Address (NOT a post office box)	× City:	CX State: Zip:	Please type name as above. Previous Signer responsible official4
than RO/ARO/PIs	Massign Roles		- Select an or V	
3. Select the blue	Primary Roles	ARO 🛛 🗟 Owner/Contr	roller 🗆 👼 Pl	SRA Information
update button on	<ul> <li>☑ ♣ Laboratorian</li> <li>☐ Assigned PI:</li> </ul>	Animal Care Staff 🛛 🖸 Unescorted	visitors	Approval         Initial         10/25/2017         Access         11/01/2017         Access         11/01/2020           Status:         Unrestricted         Application         Date         Approval Date         Expiration Date         Expiration Date
the bottom of the	Tier 1 Alexander Bell Daniel Bernoulli George Carver Alexander Fleming			Close Remove H Update
page	Support Roles  Maintenance Janitorial Safety Security	☐ Administrative ☐ IT ☐ Shipping/Receiving	□ Other	





🜲 Not	ification	S			
Filter: All	~				
Archive Sele	cted			Current  Archived O Flagged	0
Select All	From ~	Туре ~	Date And Time ~	Notification ~	~
	responsible o	Form1 View	9/9/2020 9:48:28 AM	Section 4 - Alexander Bell with DOJ number JS070245 was modified by responsible official4	🍽 Flag
	responsible o	Form1 View	9/9/2020 9:47:55 AM	Section 4 - Gregor Mendel with DOJ number JJ070277 was modified by responsible official4	🍽 Flag
	responsible o	Form1 View	9/9/2020 9:47:26 AM	Section 4 - Daniel Bernoulli with DOJ number KL070225 was modified by responsible official4	🍽 Flag
	responsible o	Form1 View	9/9/2020 9:46:52 AM	Section 4 - Luke Lancaster II with DOJ number LL070201 was modified by responsible official4	🍽 Flag

#### The notification center will update to reflect that the person was modified.





# APHIS/CDC Form 1 Section 4 – Add/Remove/Modify/Reapply Personnel

Reapply personnel





Select the type of amendment you would like to perform.

	SD +	Amendment Selection	, x idev.cdcipsas.com Official2)
	VE	Velcome to the Form 1 Amendment page. Before we get started we need a little information. Selow are the types of Amendments available for this form and actions specific to the Amendment.	Out Form 3 Form 4
RO 2's	E	elect the type of Amendment you would like to perform	
LEAD AGENC	Y: C	Section 1 - Change Entity Name, Abstract, or Type Section 1 - Change Entity Physical or Additional Address	3 🛳
Facility Addre	oss: 426 Official	Section 2 - Sign Section 2 Section 3 - Add Select Agent or Toxin	) *#: 121-323-1231
Primary FSAP	PROC:	Section 3 - Reactivate Select Agent or Toxin Section 4 - Add/Remove/Modify/Reapply Personnel	7891
Secondary PS		Section 4 - Change Responsible Official Section 5A - Modify Entity-Wide Security Assessment and Incident Response	+3-07.65
Filter: All	ifica	Section 5B - Modify Entity-Wide Biosafety/Biocontainment Section 5C - Modify Entry Requirements for Federal Select Agent Programs Inspectors	
Archive Selection	cted	Section 6 - Add New Building Section 6 - Add New Room or Suite	0
Select All	From	Section 6 - Modify Building Section 6 - Modify Room or Suite	~
	eFSA	Section 6 - Reactivate Room or Suite Section 6 - Remove Building	<b>I™</b> Flag
	eFSA	Section 7AC - Add New Work Objective	<b> ≈</b> Flag
	David	Section 7AC - Remove Approved Work Objective	Flag





Section 4	- E	ntity P	ersonnel											
All  Pending Unrestricted Withdrawn Expired Expired Denied Denied														
All  RO/ARO Pe	ersonne	O Princip	al Investigator OSc	ience Personnel ⊖	Support Personnel C	)								
Last Name 🗸 🗸	First N	ame ~	DOJ# ~	Approval Status .:.	Roles ~	Tier 1 ~	Supervising PIs ~	Initial Applicatio.::	BRAG Received D.::	Access Approval::.	Access Expiratio::.	Access Withdra>	~	~
r x	s	×		with ×				Start Date	Start Date	Start Date	Start Date	Start Date		
End Date     End Date     End Date     End Date														
Ride	Sally		SR070819	Withdrawn	Principal Investig	No		08/26/2020	08/01/2020	08/26/2020	08/26/2023	08/27/2020	Edit	History

Toggle to All Users and click "Edit" for the person you wish to reapply.





#### 1. Select "Reapply"

2. You will receive a pop-up warning box stating: This person's approval status will be reset to 'Pending', and their existing access dates and role(s) will be cleared. Are you sure?

3. Select OK to continue

替 Assign Roles							
Primary Roles							
🗆 🌆 RO		🗆 🔤 AR	0	🗆 볼 Owner/C	ontroller	🗆 🛱 PI	
🗆 뤎 Laboratorian		🗆 處 Anim	al Care Staff	🗆 🙆 Unesco	rted visitors		
Support Roles							
Maintenance	Janito	rial	<ul> <li>Administrative</li> </ul>	IT	Othe	r	
<ul> <li>Safety</li> </ul>	Securi	ity	Shipping/Receivi	ng			
Reason Removed							
No longer employed	at the entity						
SRA Information							
Approval Status: <u>W</u>	<u>ïthdrawn</u>	Initial Application Date	08/03/2020	Access Approval Date	08/03/2020	Access Withdrawal Date	08/03/2020
					[	Close Reapply	H Update
						T	





Tarchive Selected Current  Archived  Flagger											
Select All	From ~	Туре ~	Date And Time ~	Notification ~	~						
	Branch Chief	Form1 View	9/9/2020 9:34:44 AM	Section 4 - Sally Ride with DOJ number SR070819 was reapplied by Branch Chief	🍽 Flag						

The notification center will update to reflect that the person was modified.





Last Name 🔺	~	First Name	~	DOJ# ~	Approval Status .:.	Roles ~	Tier 1 V	Supervising PIs $\sim$	Initial Applicatio.::	BRAG Received D.::	Access Approval::.	Access Expiratio::.	Access Withdra:	~	~
r 3	×	sall	×						Start Date	Start Date	Start Date	Start Date	Start Date		
									End Date	End Date	End Date	End Date	End Date		
Ride		Sally		SR070819	Pending		No		09/09/2020					Edit	History
					1	1	1		1						

The person's role and additional statuses are reset (e.g., Tier 1 status is reset). The entity will receive a daily notification until the person is assigned a new role.

Their SRA initial date is reset to the date you click reapply and their status is set as pending.





# **Additional Assistance**

- The eFSAPResource Center has resources to assist with the use of eFSAP.
- For technical assistance with eFSAP, or for assistance with the Secure Asset Management System (SAMS), please submit a help request ticket at eFSAPCustomer Support Request Form, email eFSAPSupport@cdc.gov, or call 1(877) 232-3322.
- For all other inquiries regarding your entity's registration, please contact your designated FSAP point of contact (POC).